

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John Michael Redmayne

Confirmation No.: 3955

Application No.: 10/584,826

Group Art Unit: 3693

Filing Date: September 1, 2006

Examiner: Kenneth Bartley

For: Method And Apparatus For Pricing Securities

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicant-Initiated Interview Summary

Date of Interview: June 21, 2011

Interview Type: ☐ Personal ☒ Telephonic ☐ Electronic Mail ☐ Video Conference ☐ Other:

Participants:

From PTO: (Include Name and Title)

1. Examiner: Kenneth Bartley
- 2.
- 3.

For Applicant:

1. John Redmayne, Applicant
2. Peter Trahms-Neudorfer, Attorney
- 3.
- 4.

☐ An exhibit or demonstration was included and is described below:

The claims discussed included: Claim(s) 165 and 209

The ☐ art ☒ prior art discussed included:

Patent No. 5812988 to Sandretto

An agreement ☐ was ☐ was not reached.

☐ It was agreed that the attached claims are allowable.

☐ It was agreed that the attached amendment would be entered.

☒ The interview is summarized below.

The parties discussed the present rejections under 35 USC §§ 101 and 103. The parties agreed that the Office would reconsider the rejections in view of the claim amendments submitted in the present response.

Date: July 7, 2011

/Peter Trahms-Neudorfer/

Peter Trahms-Neudorfer

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